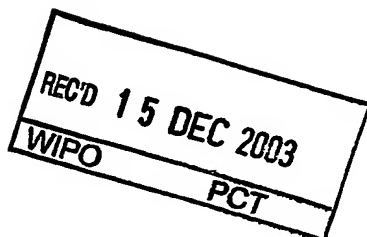




PCT/EP 03/09718

EP 03/9718
REG'D PCT/PTOINVESTOR IN PEOPLE
15 MAR 2005

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ



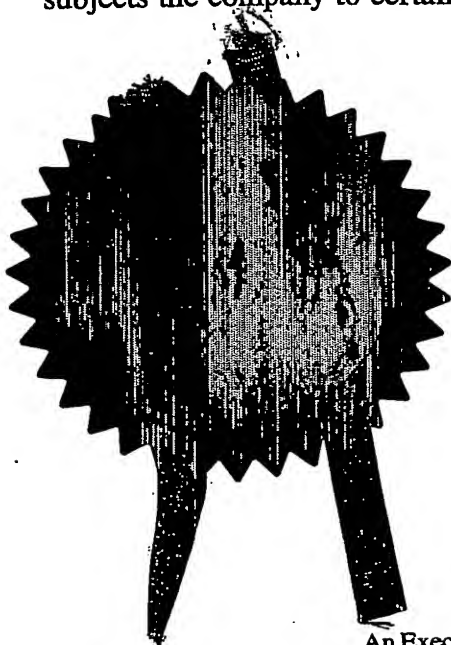
I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

PRIORITY DOCUMENT
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH
RULE 17.1(a) OR (b)



Signed

Dated

20 August 2003

BEST AVAILABLE COPY

Patents Form 1/77

Patents Act 1977
(Rule 16)

THE PATENT OFFICE
13 SEP 2002
RECEIVED BY FAX

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

1. Your reference

MBZ-0502

13SEP02 E747883-1 D03051
P01/7700 0.00-0221171.2

2. Patent application number

(The Patent Office will fill in this part)

13 SEP 2002

0221171.2

3. Full name, address and postcode of the or of each applicant (underline all surnames)

07171101001

Patents ADP number (if you know it)

MBT HOLDING AG
Vulkanstrasse 110
CH-8048 Zürich
Switzerland

a Swiss Company

If the applicant is a corporate body, give the country/state of its incorporation

4. Title of the invention

METHOD

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom
to which all correspondence should be sent
(including the postcode)Mr. P.B. Brown
FEB MBT
Albany House
Swinton Hall Road
Swinton
MANCHESTER M27 4DT

Patents ADP number (if you know it)

07235393001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

yes

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an applicant, or

c) any named applicant is a corporate body.

See note (d))

Patents Form 1/77

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 8

Claim(s) 2

Abstract 1

Drawing(s)

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature

Date



13 September 02

12. Name and daytime telephone number of person to contact in the United Kingdom

Mr. P. Brown, FEB MBT
(0161) 794 74 11

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Patents Form 1/77

METHOD

This invention relates to a method of boring a tunnel in hard rock and to compositions for assisting such boring.

5

The boring of tunnels in hard rock, such as limestone and metamorphic or igneous rocks, has always presented more problems than has boring in softer rock. The most commonly used method of tunnel boring in such rock has been the drilling of blast holes, followed by blasting with explosives. It has been desired to use tunnel boring machines (TBMs), machines with large
10 diameter cutting heads (sometimes in excess of 10m), for tunnelling in hard rock. The major problem of TBM use in such rock is the rapid wear of the cutting elements (hardened steel discs which protrude from the cutting head) and the necessity for frequent replacement, a disadvantage from both operational and economic points of view.

15 It has now been discovered that the use of a particular composition can reduce this wear considerably, permitting more efficient and economic boring in hard rock with a TBM. This invention therefore provides a method of reducing wear in a cutting head of a tunnel boring machine, by means of the addition at the cutting head of a foamed aqueous liquid composition, which comprises a foaming agent and a lubricant, the lubricant being selected from the group
20 consisting of high molecular weight polyethylene oxides and bentonite.

The foaming agent may be any foaming agent, that is, any material that, when agitated in water, will cause stable foam to form. It is possible to use more than one such foaming agent in a composition for use in this invention. A wide variety of such materials is known to the art. The
25 preferred materials for use as foaming agents in this invention are surfactants, that is, materials that have both hydrophilic components and hydrophobic components. Although any suitable surfactant can be used, it has been found that, for the purposes of this invention, the surfactants that work best are anionic or nonionic types, and these are the preferred surfactants.

30 If the surfactant is an anionic type, it is preferably a sulphate-containing surfactant, more preferably an alcohol sulphate, and most preferably a lauryl sulphate. Many suitable materials

MBZ-0502

2

are known to the art, an example of a particularly preferred material being monoisopropanolamine lauryl sulphate (available commercially, for example, under the trade name "Sulfetal" Cjot 60).

5 While the performance of anionic surfactants is excellent, their use is sometimes undesirable if environmental considerations are important. For environmental reasons, it is preferred that the foam be short-lived, that is, that it remain a foam only for the time between its generation and the removal of the foamed liquid from the cutting face. The foam from anionic surfactants can be so stable and durable that it can be sometimes found in rivers remote from the job site. In
10 such cases, nonionics are preferred; their performance on the job site is no less excellent, but they biodegrade more quickly and any foam generated lasts for a relatively short time. In addition, the breakdown components of the nonionic surfactants are considerably less harmful than those of the anionics, and they therefore represent less of a toxicological hazard to plant and animal life.

15 Examples of effective nonionic surfactants suitable for use in this invention include alkanolamides, aminoxides, ethoxylated alcohols, ethoxylated alkylphenols, ethoxylated esters, glucose and sucrose esters and derivatives thereof. Especially effective are the glucose and sucrose esters and their derivatives, particularly alkyl polyglucosides. Typical commercial
20 examples of these include "Lutensol" (trade mark) GD 70 (ex BASF) and "Glucopon" (trade mark) (ex Cognis).

The lubricant may be chosen from one of two different materials, both of which are readily available commercially. By "high molecular weight" polyethylene oxide (PEO) is meant a PEO
25 with a weight-average molecular weight of at least 1,000,000. Preferably the molecular weight is from 2,000,000 - 8,000,000. Such materials have previously been used in the boring of tunnels with TBMs, but never in connection with hard rock boring. Typical commercial materials include POLYOX (registered trade mark) WSR-301. A blend of different PEOs may be use, although, being a polymer, a PEO has a molecular weight distribution, and it is therefore already
30 inherently a blend of different materials.

0502prio

The alternative lubricant is bentonite. This clay material is already well known as a constituent of drilling muds, and it has also been used in some TBM applications. However, its use in conjunction with the foaming agents hereinabove described to achieve this particular end is new. Any commercially-available bentonite is suitable for the purposes of the invention, a typical
5 example being "Tixoton" (trade mark).

The compositions are prepared for use by the addition of a suitable amount of water. Although the supply of dry compositions is theoretically possible and is not excluded from this invention, it is impracticable. One reason for this is that it introduces the task of producing the liquid
10 composition on the job site - this can be difficult, especially with PEOs of high MW, which, although water-soluble, can be difficult to dissolve. In addition, other commercially-available additives (further described hereinunder) are often supplied only in solution or suspension form.

There are two methods of overcoming these difficulties. The first is to supply the compositions
15 as a series of individual aqueous ingredients that can be metered in the correct individual proportions to a relatively large quantity of water for foaming. Thus, the PEO and/or the bentonite, the foaming agent and any optional ingredients (further described hereinunder) are provided individually in aqueous form. The required containment and metering equipment is well known to the art and does not therefore need to be further described here. This method has
20 the advantage of versatility - the quantities of ingredients can be varied to suit local conditions as they arise and any optional components can be included or not included, as the case may be. It is even possible to include both types of lubricant (PEO and bentonite), and to change from one to the other. The disadvantage is, of course, that a further piece of equipment, with its associated cost and maintenance problems, is introduced.

25

A more desirable method for most applications is to provide a concentrate, an aqueous solution or suspension with a suitable proportion of the necessary ingredients, which is capable of being used quickly and easily. It is no problem to dilute such a concentrate and foam the diluted concentrate at a job site. Where the versatility of the metering approach previously outlined is
30 not needed, this is much preferred, because of its simplicity of use and relative cheapness.

MBZ-0502

4

The quantities of ingredients referred to in the following paragraphs relate to an aqueous composition which comprises the dry ingredients plus sufficient water, either to render the individual ingredients in aqueous form for individual metering as hereinabove described, or for the preparation of an aqueous concentrate, as hereinabove described. The compositions are made up to 100% with water. This does not include the final dilution and foaming water (for which quantities see p.5).

In the case where PEO is used as lubricant, the quantities of PEO used are from 0.1-3.0%, preferably from 0.4-2.0%, and more preferably from 0.5-1.0% by weight of the concentrate. The equivalent quantities of foaming agent are from 2-40%, preferably from 5-30%, and more preferably from 5-20%.

If bentonite is used as lubricant, the quantities are from 2-30%, preferably from 2-25%, and more preferably from 2-20%, and the equivalent quantities of foaming agent are from 2-40%, preferably from 4-20%, and more preferably from 5-15%.

It is possible to add other ingredients to the compositions for use in this invention. Two particularly useful ones are sequestering agents and foam boosters. These are generally more effective in compositions where PEO is the lubricant, but they can be used also with bentonite. In addition, in the preferred cases, they are more often useful with anionic surfactants; they have little effect with nonionics. This is especially true of the sequestering agent.

The sequestering agent is present to counter any problems brought about by the use of hard water in the preparation of the final foamed solution - hard water can cause the precipitation of the foaming agent and render the composition useless. If there is no hard water present, a sequestering agent is naturally not needed, but the addition of such an agent provides a composition which may be used in any water conditions, and which is therefore always ready for use in any circumstances. Any suitable sequestering agent may be used, the quantities used being in the range of up to 5%, preferably from 0.1-5%, more preferably from 0.5-2%, and most preferably from 1-1.5%. An example of a sequestering agent which is suitable for use in this invention is "Cublen" (trade mark) K2523.

0502prio

MBZ-0502

5

The foam booster may likewise be any suitable material. The quantities used are up to 10%, preferably from 0.1-10%, and more preferably from 0.1-1%. An example of a foam booster which is suitable for use in this invention is "Aromox" (trade mark) MCD-W.

5

The invention also provides a wear-reducing foamable liquid concentrate, consisting of at least one lubricant selected from high molecular weight polyethylene oxide and bentonite, and at least one foaming agent which gives rise to short-lived foams, optionally also containing at least one sequestering agent and at least one foam booster, the quantities present being respectively

10

(a) in the case of polyethylene oxide as lubricant:

0.1-3% polyethylene oxide;

2-40% foaming agent;

15

up to 5% sequestering agent; and

up to 1% foam booster;

and (b) in the case of bentonite as the lubricant:

20

2-30% bentonite; and

2-40% foaming agent;

by weight of the concentrate, the remainder being water.

25 In use, in the case of a concentrate, the concentrate is added to a suitable quantity of water and foamed, before being pumped to the rotating cutting head and injected at the interface of cutting head and rock. In the case of an individual ingredient metering system, the required quantities of aqueous ingredients are metered to a suitable quantity of water and foamed. Typically, the concentrate/individual ingredients previously described is or are diluted with water to give an
30 aqueous composition having from 1-20%, preferably 1-10%, more preferably from 1-8% and most preferably from 1-6% of concentrate/individual ingredients.

0502prio

MBZ-0502

6

This diluted composition is foamed by any convenient means to give a volume expansion of from 5-40, preferably from 5-20, more preferably from 8-20 times the volume of the unfoamed material.

- 5 The actual dilution of the concentrate/individual ingredients and the amount to which it is foamed will vary considerably, depending on the particular circumstances. Such factors as diameter of cutting head, number and location of injection nozzles and nature of rock will have a major effect. The essential requirement is to maintain a layer of foam in contact with the rock face across the entire area of the cutting head. Achieving this requirement is a matter of routine
10 experimentation and the skilled person will easily be able to do it. Typical figures for a concentrate of the type hereinabove described are from 0.5-10.0, preferably from 0.5-6.0, more preferably from 1-4 kg concentrate/m³ rock removed. If individual aqueous ingredients are being added, equivalent quantities can easily be calculated. It is emphasized that these figures are given only as a general guideline, and that certain conditions may require lower or higher
15 quantities of individual ingredients or concentrate.

It is a surprising feature of this invention that the use of an aqueous liquid composition as hereinabove described results in a considerable reduction in wear of cutting elements in hard rock, leading to longer cutting head life and less frequent replacement, and therefore better and
20 more economical tunnel boring. It is believed, without restricting the scope of this invention in any way, that the fine material produced at the drilling face of the TBM is bound together by the aqueous liquid composition and acts as a lubricant.

The invention is now illustrated by the following non-limiting examples.

25

Composition A (using anionic surfactant)

The following ingredients are used:

- 30 "Polyox" WSR 301 polyethylene oxide,
weight-average molecular weight 4,000,000 ("PEO") 0.83%

0502prio

MBZ-0502

7

"Sulfetal" Cjot 60 surfactant	9.0%
"Cublen" K 2523 sequestering agent ("SA")	0.3%
"Aromox MCD-W foam booster ("FB")	0.15%
water	to 100%

5

Compositions B and C (using nonionic surfactant)

The compositions are as follows:

	B	C
10 "Lutensol" GD 70	10.0%	10.0%
"Polyox" WSR 301	0.9%	
bentonite		4.2%
sodium bicarbonate		0.4%
15 water	to 100%	to 100%

Testing

A method of ascertaining the effectiveness of the compositions for use in this invention prior to
 20 use with a TBM, thereby saving time and money, requires the following apparatus:

PVC jar, 1 litre, wide mouth
 test specimen
 silicon carbide powder (0.841-1.19mm)

25

The test specimen consists of three wheels of ST 50 steel of 50 mm diameter and 14 mm thickness with a 10mm diameter axial hole, the three being secured to an M10 bolt by any suitable means (such as nuts and washers), such that there is about 14mm space between adjacent wheels.

30

The procedure is as follows:

0502pno

MBZ-0502

8

400 g. of the silicon carbide is mixed with quantities of water and composition and added to the jar. A test specimen, of which the weights of the three individual wheels are accurately known, (to 0.001 g.) is then added to the jar, and the jar is sealed, placed on a mill and rolled for 3 hours at 125 rpm. The wheels are then weighed and the loss of weight (wear) is found.

5

The compositions A, B and C are tested in this way. In each case, the compositions are diluted with water (5% composition in water) and 30 and 60 g samples are foamed to give a volume expansion of 10 times. As a control, 60 g water is added to a 400 g sample of the silicon carbide and tested. The wear rates of the test specimens are as follows:

10

SiC + water	204 mg
SiC + 30 g A	190 "
SiC + 60 g A	157 "
SiC + 30 g B	129 "
15 SiC + 60 g B	115 "
SiC + 30 g C	123 "
SiC + 60 g C	90 "

As can be seen, the wear rates are reduced, in some cases very substantially.

20

25

MBZ-0502

9

Claims:

1. A method of reducing wear in a cutting head of a tunnel boring machine, by means of the addition at the cutting head of a foamed aqueous liquid composition, which comprises a foaming agent and a lubricant, the lubricant being selected from the group consisting of high molecular weight polyethylene oxides and bentonite.
2. A method according to claim 1, in which the individual ingredients of the foaming composition are metered in individual aqueous form into water and are converted to foam.
3. A method according to claim 1 or claim 2, in which the foaming agent is selected from anionic and nonionic surfactants.
4. A method according to claim 1, in which the composition is supplied as a concentrate, which is diluted with water in situ to provide the foaming composition.
5. A wear-reducing foamable liquid concentrate, consisting of at least one lubricant selected from high molecular weight polyethylene oxide and bentonite and at least one foaming agent which gives rise to short-lived foams, optionally also containing at least one sequestering agent and at least one foam booster, the quantities present being respectively:

(a) in the case of polyethylene oxide as lubricant:

0.1-3% polyethylene oxide;
2-40% foaming agent;
up to 5% sequestering agent; and
up to 1% foam booster;

and (b) in the case of bentonite as the lubricant:

0502prio

MBZ-0502

10

2-30% bentonite; and
2-40% foaming agent;

5 by weight of liquid composition, the remainder being water.

6. A wear-reducing foamed liquid consisting of a concentrate according to claim 8 diluted in from 1-20 volumes of water and foamed to give a volume expansion of from 5 - 40 times.

10

0502prio

MBZ-0502

11

ABSTRACT

A method of reducing wear in a cutting head of a tunnel boring machine, by means of the addition at the cutting head of a foamed aqueous liquid composition, which comprises a foaming agent and a lubricant, the lubricant being selected from the group consisting of high molecular weight polyethylene oxides and bentonite. Preferred foaming agents are anionic and nonionic surfactants. Wear rates of cutting elements of TBMs boring in hard rock are considerably reduced. A wear-reducing foamable concentrate is also described.

0502prto

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☒ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☒ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.